

3739  
*[Signature]*

Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. of: Peter A. Altman Art Group: 3739  
Serial No.: 10/014,445 Examiner: Lee S. Cohen  
Filed: December 11, 2001 Atty. Docket: 23,403-05  
Reissue of: U.S. Patent No. 5,551,427  
For: Implantable Device for Penetrating and Delivering Agents to Cardiac Tissue

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TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed for filing please find the following:

1. Amendment (21 pages), including Cover (1 page), Listing of the Claims (11 pages), Status of Claims (1 page), Support for Amendments (1 page), Remarks (6 pages), and Certificate of Mailing (1 page);
2. Request for Extension of Time (One Month);
3. Fee Transmittal for FY2005;
4. Check in the amount of \$600.00 in payment of the fee for 16 additional claims (\$400.00) and 2 additional independent claims (\$200.00) over the amounts previously paid (small entity);
5. Check in the amount of \$60.00 for the filing fee (one-month extension); and
6. Return Receipt Postcard.


The Commissioner is authorized to charge any additional fees necessitated by this correspondence, or credit any overpayment, to Deposit Account No. 12-0449.

Please direct any questions or comments to Frederick W. Niebuhr at (952) 896-1574.

Respectfully submitted,

Biocardia, Inc.

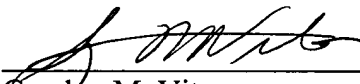
Dated: January 3, 2005

By:   
Frederick W. Niebuhr  
Registration No. 27,717  
Customer No. 23452

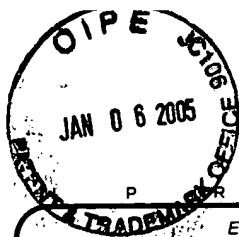
#### CERTIFICATE OF MAILING

Pursuant to 37 CFR 1.8, I hereby certify that this Transmittal and accompanying documents identified in items #1-6 above in Application Serial No. 10/014,445 are being deposited with the U.S. Postal Service by first class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date of deposit indicated below.

Date of Deposit: January 3, 2005

  
Geraldyn M. Vita

979129.1



1995

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 660.00 (2 checks))

### Complete if Known

Application Number	10/014,445; reissue of U.S. Pat. No. 5,551,427
Filing Date	December 11, 2001
First Named Inventor	Peter a. Altman
Examiner Name	Lee S. Cohen
Art Unit	3739
Attorney Docket No.	23,403-05

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: 12-0449 Deposit Account Name: Larkin Hoffman Daly & Lindgren Ltd.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Small Entity	
Fee (\$)	Fee (\$)
50	25
200	100
360	180

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

- 20 or HP = 16 x 25 = 400.00

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

- 3 or HP = 2 x 100 = 200.00

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets**      **Extra Sheets**      **Number of each additional 50 or fraction thereof**      **Fee (\$)**      **Fee Paid (\$)**

- 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): One month extension fee (small entity)

**Fees Paid (\$)**

60.00

#### SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 27,717	Telephone 952-896-1574
Name (Print/Type)	Frederick W. Niebuhr		Date January 3, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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